

Tentacled Self-Organizing Map for Effective Data Extraction

Haruna MATSUSHITA^{†a)}, Student Member and Yoshifumi NISHIO^{†b)}, Member

SUMMARY Since we can accumulate a large amount of data including useless information in recent years, it is important to investigate various extraction method of clusters from data including much noises. The Self-Organizing Map (SOM) has attracted attention for clustering nowadays. In this study, we propose a method of using plural SOMs (TSOM: Tentacled SOM) for effective data extraction. TSOM consists of two kinds of SOM whose features are different, namely, one self-organizes the area where input data are concentrated, and the other self-organizes the whole of the input space. Each SOM of TSOM can catch the information of other SOMs existing in its neighborhood and self-organizes with the competing and accommodating behaviors. We apply TSOM to data extraction from input data including much noise, and can confirm that TSOM successfully extracts only clusters even in the case that we do not know the number of clusters in advance.

key words: self-organizing maps, clustering, data extraction, data segmentation

1. Introduction

Since we can accumulate a large amount of data including useless information nowadays, it is important to investigate various extraction methods of clusters from data including much noise. Then, the Self-Organizing Map (SOM) has attracted attention in recent years. SOM is an unsupervised neural network introduced by Kohonen in 1982 [1] and is a model simplifying self-organization process of the brain. SOM can obtain a statistical feature of input data and can be applied to a wide field of data classifications [2]–[7]. Although many methods to extract clusters by using SOM have been proposed, it seems to be very difficult to construct a simple method of using SOM for universal input data.

In our past study, we have proposed a method of simultaneously using two kinds of SOM whose features are different (n SOM method) [8]. In the n SOM method, one self-organizes the area where input data are concentrated, and the other self-organizes the whole of the input space. We call the former SOM_L and the latter SOM_G . We have investigated competing behavior of n SOM caused by the difference of the initial states and the neighborhood functions. Furthermore, we have applied n SOM to clustering of data including much noise and have confirmed its efficiency. However, n SOM method has two disadvantages in order to

obtain an efficient clustering performance.

- 1) We must set the initial state of SOM_G avoiding clusters.
- 2) We must determine the appropriate number of SOMs, namely, we must know the number of clusters in advance.

Regarding the case 1), it is difficult to set the initial state properly in higher-dimensional space. Regarding the case 2), if we use too many SOMs in n SOM method, some SOMs compete with each other in the same cluster and the one cluster is extracted as wrong plural clusters.

We have also proposed the Peace SOM algorithm [9] which remedies the above problem 2). PSOM possesses both competing and accommodating abilities and is used after executing the n SOM method. This algorithm makes the competing SOMs in the same cluster to accommodate the cluster, while the SOMs situated far keeping to compete with other SOMs. We have investigated the competing and the accommodating behaviors of PSOM with applications to clustering input data including much noise. However, the learning process is a little bit complicated, because the PSOM algorithm must be used after executing the n SOM method, namely it is the two-tiered learning.

In this study, we propose a method of using plural SOMs (TSOM: Tentacled SOM) for effective data extraction. TSOM method possesses both abilities of n SOM and PSOM, namely, TSOM has context sensitive behaviors of competing and accommodating. The plural SOMs of TSOM consist of the two kinds of SOM, SOM_L and SOM_G . SOM_L self-organizes the area where input data are concentrated, while SOM_G self-organizes the whole of the input space, in the same way as n SOM method. In the TSOM algorithm, one SOM_G and plural SOM_L are used. Each SOM of TSOM can catch the information of other SOMs existing in its neighborhood—hence the name “tentacled.” TSOM has the following three key rules.

1. Winner SOM: All SOMs of TSOM have one winner neuron, respectively. However, neurons of all SOMs are NOT updated. One or more winner SOMs are decided for one input data, and the weight vectors of only the winner SOM are updated.
2. The update direction y_{Gi} of SOM_G : the update direction of SOM_G is decided by the positional relation with SOM_L .
3. Learning function: SOM_L are updated according to the learning function which is the same function used in PSOM algorithm.

These key roles make the behavior of TSOM interesting. Namely, SOM_L and SOM_L which are located close to each

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[†]The authors are with the Department of Electrical and Electronic Engineering, Tokushima University, Tokushima-shi, 770–8506 Japan.

a) E-mail: haruna@ee.tokushima-u.ac.jp

b) E-mail: nishio@ee.tokushima-u.ac.jp

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other accommodate each other, contrary, SOM_G and SOM_L which are located close to each other compete against with each other. SOM_L and $SOM_{L'}$, which are located far apart, also compete against with each other.

In Sect. 2, we explain the key points and the learning algorithm of the proposed TSOM algorithm in detail. The competing and the accommodating behaviors of TSOM are investigated in the Sect. 3 with applications to data extraction from input data including much noise. For 2 and 3-dimensional input data, extraction ability of clusters is evaluated both visually and quantitatively using a correct answer rate. We also apply TSOM to 5-dimensional input data and confirm the extraction ability for higher-dimensional data. We can see that TSOM successfully extracts clusters even in the case that we do not know the number of clusters in advance.

2. Tentacled Self-Organizing Map (TSOM)

In this study, we propose a method of using plural SOMs (TSOM: Tentacled SOM) for effective data extraction. The plural SOMs of TSOM contains the two kinds of SOM, namely SOM_G and SOM_L . Each SOM of TSOM exchanges their own position information each other and self-organizes with the competing and accommodating behaviors.

In the TSOM algorithm, we use totally n SOMs, that is one SOM_G and $(n - 1)$ SOM_L ; namely $SOM_{L1}, SOM_{L2}, \dots, SOM_{L(n-1)}$. Each SOM consists of m neurons located at regular 2-dimensional grid. The lattice of the grid is either hexagonal or rectangle. Each neuron i of SOM_G has a d -dimensional weight vector $w_{Gi} = (w_{Gi1}, w_{Gi2}, \dots, w_{Gid})$, and each neuron i of SOM_{Ll} ($l = 1, 2, \dots, n - 1$) also has the weight vector $w_{Lli} = (w_{Lli1}, w_{Lli2}, \dots, w_{Llid})$. The input data is denoted by $x_j = (x_{j1}, x_{j2}, \dots, x_{jd})$ ($j = 1, 2, \dots, N$).

TSOM has three key rules, namely, ‘‘Winner SOM,’’ ‘‘The update direction of SOM_G ’’ and ‘‘Learning function.’’ We explain these key points with the learning algorithm in this section.

2.1 Winner SOM Deciding Rule

We use plural SOMs for TSOM algorithm and find winner neurons in every SOM for one input data by calculating the distance between x_j and all the weight vector. In other words, each winner neuron is the neuron with the weight vector closest to x_j in each SOM, namely, all SOMs of TSOM have one winner neuron, respectively. The winner neuron of SOM_G is denoted by c_G , and the winner neuron of SOM_{Ll} is denoted by c_{Ll} . However, neurons of all SOMs are NOT updated, the weight vectors updated are that of only the winner SOM.

We explain the method for deciding the winner SOM. This plays a very important role in the TSOM algorithm and produces the competing behavior and the accommodating behavior of TSOM. The winner SOM is determined by the position of each winner neuron of each SOM.

[For SOM_L]

(1) The winner SOM is SOM_{Ll} if the winner neuron c_{Ll} of SOM_{Ll} has the weight vector closest to x_j in all the winner neurons (as Fig. 1(a)).

(2) Plural SOM_L can be the winner SOMs if the distances between x_j and winner neurons of plural SOM_L are less than $R_L(t)$, namely $\|w_{Llc_{Ll}} - x_j\| \leq R_L(t)$ (as Fig. 1(b)).

[For SOM_G]

(3) The winner SOM is SOM_G if the winner neuron c_G of SOM_G has the weight vector closest to x_j in all the winner neurons, and all winner neurons of SOM_L are more than $R_L(t)$ away from x_j (as Fig. 1(c)).

(4) SOM_G can not be the winner if distances between x_j and winner neurons of SOM_L are not more than $R_L(t)$ although the winner neuron c_G of SOM_G has the weight vector closest to x_j in all the winner neurons (as Fig. 1(d)).

In the case (4), the weight vectors w_G of SOM_G are updated to minus direction. The value of deciding the update direction of SOM_G for the input data x_j can be written as;

$$y_{Gj} = \begin{cases} 1, & \text{if case (3)} \\ -1, & \text{if case (4)} \\ 0, & \text{otherwise} \end{cases} \quad (1)$$

In other words, SOM_G and SOM_L , which are located close

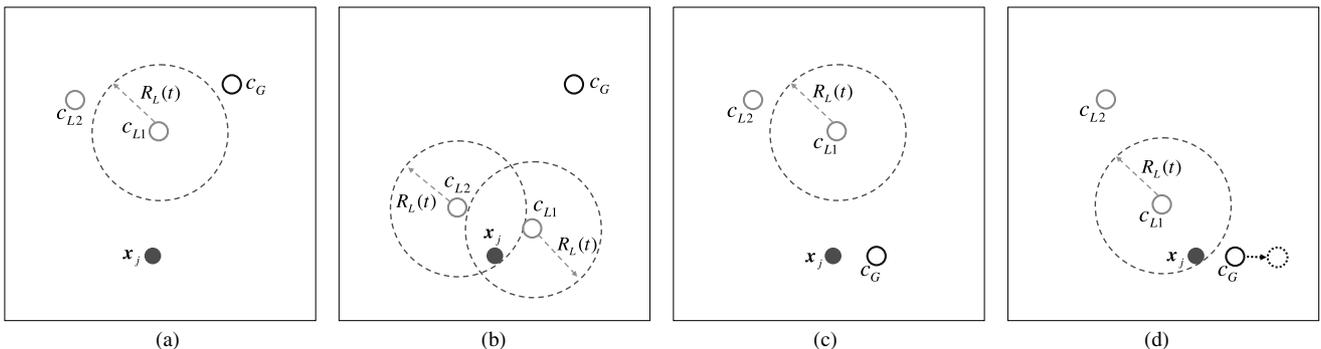


Fig. 1 Example of winner SOM and the location of winner neurons. (a) Winner SOM is SOM_{L1} . (b) Winner SOMs are SOM_{L1} and SOM_{L2} . (c) Winner SOM is SOM_G . (d) Winner SOM is SOM_{L1} .

to each other, compete against each other.

$R_L(t)$ plays an tentacle of each SOM and increases with time according to;

$$R_L(t) = R_{Lm} \left\{ 1 - \left(\frac{0.005}{R_{Lm}} \right)^{t/T} \right\}, \quad (2)$$

where R_{Lm} is a maximum value of $R_L(t)$, and T is the maximum number of the learning.

2.2 Learning Algorithm

The range of the elements of the input data \mathbf{x}_j are assumed to be from 0 to 1. The initial values of all the weight vector of SOM_G and SOM_L are given between 0 and 1 at random.

(TSOM1) An input data \mathbf{x}_j is inputted to all the neurons of SOM_G and SOM_L simultaneously in parallel.

(TSOM2) Distances between \mathbf{x}_j and all the weight vectors of all SOM are calculated. The winner neuron of each SOM is the neuron with the weight vector closest to \mathbf{x}_j in each SOM;

$$\begin{aligned} c_G &= \arg \min_i \{ \|\mathbf{w}_{Gi} - \mathbf{x}_j\| \}, \\ c_{Ll} &= \arg \min_i \{ \|\mathbf{w}_{Lli} - \mathbf{x}_j\| \}, \end{aligned} \quad (3)$$

where $\|\cdot\|$ is the distance measure, in this study, Euclidian distance.

(TSOM3) One or more winner SOMs are determined by the distance between \mathbf{x}_j and the winner neurons, according to II. A.

(TSOM4) The weight vectors of neurons of SOM_G and SOM_L are updated.

The weight vectors of neurons in SOM_G are updated according to the following equation;

$$\mathbf{w}_{Gi}(t+1) = \mathbf{w}_{Gi}(t) + y_{Gj} \cdot h_{Gc_{G,i}}(t)(\mathbf{x}_j - \mathbf{w}_{Gi}(t)), \quad (4)$$

where y_{Gj} is the value of deciding the update direction of SOM_G for \mathbf{x}_j determined by Eq. (1), and $h_{Gc_{G,i}}(t)$ is the neighborhood function of SOM_G described as follows;

$$h_{Gc_{G,i}}(t) = \alpha(t) \exp\left(-\frac{\|\mathbf{r}_i - \mathbf{r}_{c_G}\|^2}{2\sigma^2(t)}\right), \quad (5)$$

where \mathbf{r}_i is the vectorial locations on the display grid of neuron i , $\alpha(t)$ is the learning rate, and $\sigma(t)$ corresponds to the widths of the neighborhood function. Both $\alpha(t)$ and $\sigma(t)$ decrease monotonically with time according to the following equation;

$$\alpha(t) = \alpha(0)(1 - t/T), \quad \sigma(t) = \sigma(0)(1 - t/T), \quad (6)$$

where T is the maximum number of the learning.

If the winner SOM is SOM_{L_l}, the weight vectors of neurons in SOM_{L_l} are updated, according to;

$$\mathbf{w}_{Lli}(t+1) = \mathbf{w}_{Lli}(t) + h_{Ll_{c_{Ll,i}}}(t)(\mathbf{x}_j - \mathbf{w}_{Lli}(t)), \quad (7)$$

The function $h_{Ll_{c_{Ll,i}}}(t)$ is the neighborhood function of SOM_L and it is described as follows;

$$h_{Ll_{c_{Ll,i}}}(t) = p_{c_{Ll}}(t) \exp\left(-\frac{\|\mathbf{r}_i - \mathbf{r}_{c_{Ll}}\|^2}{2\sigma^2(t)}\right), \quad (8)$$

where $p_{c_{Ll}}(t)$ is the learning function, and it is explained in the next subsection.

(TSOM5) The steps from (TSOM1) to (TSOM4) are repeated for all the input data, namely from $j = 1$ to $j = N$.

2.3 Learning Function

In our past study, we have proposed the learning function. The learning function produces the competing behavior and the accommodating behavior of SOM_L. The value of the learning function is determined by the distance between the input vector \mathbf{x}_j and the winner neuron c_{Ll} of SOM_{L_l}, according to;

$$p_{c_{Ll}}(t) = \alpha(t) \exp\left(-\frac{\|\mathbf{w}_{Ll_{c_{Ll}}} - \mathbf{x}_j\|^2}{2\sigma_P^2}\right), \quad (9)$$

where $\mathbf{w}_{Ll_{c_{Ll}}}$ is the weight vector of the winner neuron c_{Ll} of SOM_{L_l}, σ_P corresponds to the width of the learning function, and $\alpha(t)$ corresponds to the maximum value of the learning function according to Eq. (6).

It is desirable to choose σ_P as a small value such as less than 0.1, because this is a key point to decide their competing behavior and accommodating behavior. Namely, when plural SOM_L are winner SOM, some winner SOM_L whose winner neurons are near the input data are significantly updated and this means that these SOM_L tend to accommodate the input data each other. Conversely, some winner SOM_L whose winner neurons are far apart from the input data are updated very little and this means that these SOM_L keep to compete with other SOM_L.

In other words, some SOM_L, which are located close to each other, accommodate each other, contrary, some SOM_L, which are located far apart, compete against each other.

3. Application to Data Extraction

3.1 2-Dimensional Input Data

First, we consider 2-dimensional input data as shown in Fig. 2(a). The input data is generated artificially as follows. Total number of the input data N is 1600. 25% of the input data are distributed within a range from 0.2 to 0.3 horizontally and from 0.7 to 0.8 vertically, and these data are called the cluster C_1 . 50% of the input data are distributed in another cluster C_2 , whose horizontal-values follow the normal distribution $N(0.7, 0.04)$, and the vertical-values $N(0.2, 0.0016)$. The remaining 25% of the input data are distributed between 0 and 1 at random.

Because the input data include 2 clusters, we use one

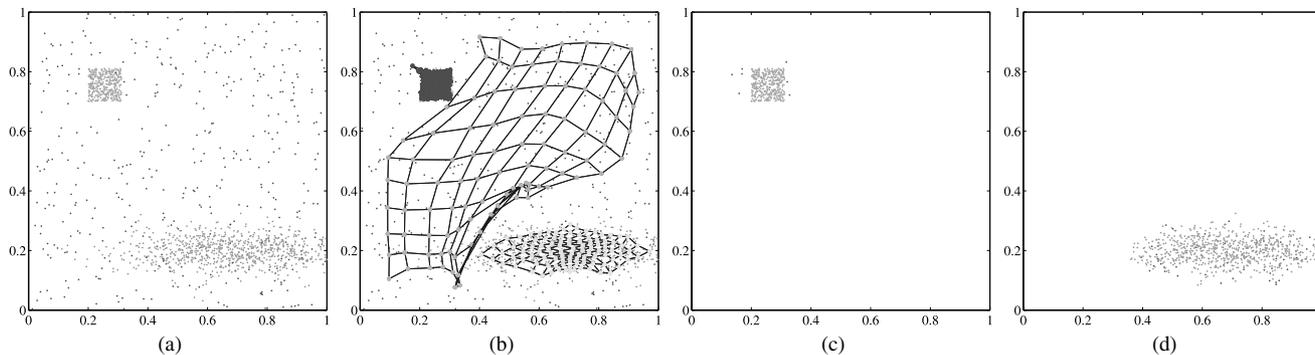


Fig. 2 Clustering of 2-dimensional input data using TSOM. (a) Input data. (b) Simulated result of TSOM ($n = 3$). (c) Clusters extracted by SOM_{L1} . (d) Clusters extracted by SOM_{L2} .

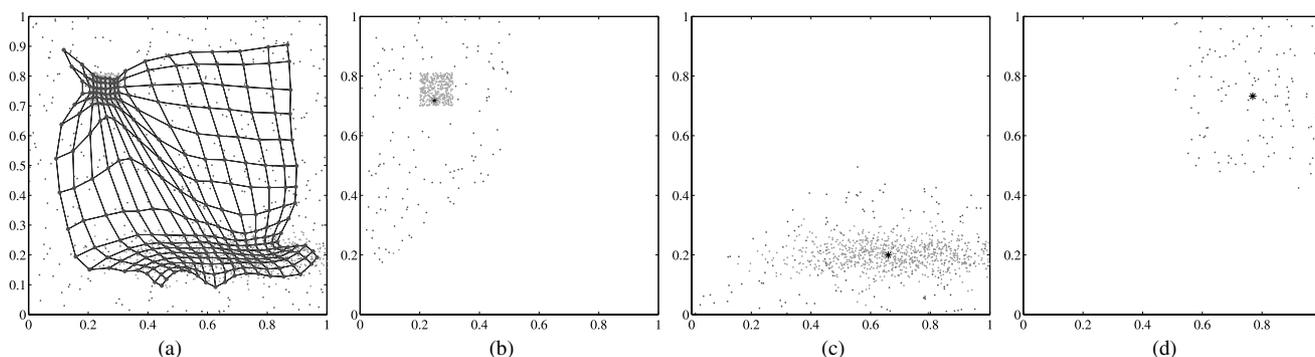


Fig. 3 Comparison of simulation results. (a) Learning result of conventional SOM. (b) Extraction of Cluster1 by k -means method. (c) Extraction of Cluster2 by k -means method. (d) Extraction of noise by k -means method.

SOM_G and two SOM_L ($n = 3$). Each SOM has 100 neurons (10×10), namely 3 SOMs have totally 300 neurons, and these neurons are arranged on a 2-dimensional grid. We repeat the learning four times for all input data. The parameters of the learning are chosen as follows;

$$\alpha(0) = 0.5, \sigma(0) = 3, \sigma_P = 1/16, R_{Lm} = 0.2.$$

The simulation result is shown in Fig. 2(b). We can see that two SOM_L stay around the two clusters by the competing behavior of TSOM method.

In order to extract only clusters of the input data including much noise, we calculate the distance between the input data x_j and the weight vectors in SOM_{Ll} after learning of TSOM. If the calculated distance is smaller than a threshold value R , the input data x_j is classified into the cluster corresponding to SOM_{Ll} . Figures 2(c) and (d) show the input data classified into the clusters corresponding to SOM_{L1} and SOM_{L2} , respectively ($R = 0.05$). As we can see from the figures, SOM_L can successfully extract the clusters, and the noise are removed by SOM_G . This is the result of TSOM when we know the number of the clusters in advance.

We carry out the conventional SOM and the k -means method for the same input data for the comparison. From Fig. 3(a), we can confirm that the conventional SOM self-organizes the input data the influence of noise. On the other hand, Fig. 3(b)–(d) shows the results obtained by using the

k -means method, where the number of the cluster is set as $k = 3$ (namely, two clusters and noise). We can see that the clusters obtained by the k -means method include much noise.

Next, we assume that we do not know the appropriate number of clusters in advance. We simulate for the same input data using one SOM_G and three SOM_L , namely the number of SOM_L is more than the number of clusters. We use the same parameters as Fig. 2. Figures 4(a)–(h) show the learning process of TSOM, and the simulation result is Fig. 4(h). We can see that all SOM_L stay around the two clusters and SOM_G self-organizes only noise. We observe this in more detail, the two SOM_L , which stay around the same cluster C_2 , are accommodating in one cluster without competing although one SOM_L is redundant. On the other hand, SOM_L situated on the other cluster C_1 is competing with other two SOM_L without accommodating.

Let us consider the learning process. In the early stage of learning as Figs. 4(a) and (b), SOM_G is self-organizing the cluster C_1 . However, SOM_L edges out SOM_G and is self-organizing this cluster as Fig. 4(d), because SOM_G are updated to the minus direction, according to Eq. (1). In the meanwhile, two SOM_L are competing in the same cluster C_2 as Figs. 4(a)–(d), however, these two SOM_L are accommodating in one cluster as the learning progressed. This is because SOM_L and SOM_L , which are located close to

each other, accommodate each other, according to Eq. (8), namely, some SOM_L whose winner neurons are close to the input data are significantly updated.

We carry out the extraction of clusters from Fig. 4(h). Figures 5(a)–(c) show that the input data are classified into the clusters corresponding to SOM_{L1} , SOM_{L2} and SOM_{L3} , respectively ($R = 0.05$).

In order to investigate the ability of the TSOM algorithm, we carry out the simulations with excessive number of SOMs. The parameters are the same as Fig. 2. Figure 6 shows the result using six SOMs for the same input data, namely 5 SOM_L just for 2 clusters. As we can see from the figures, TSOM can extract 2 clusters as if they know the number of the clusters.

In order to evaluate the clustering ability of TSOM quantitatively, we define the correct answer rate R_{CI} as fol-

lows;

$$R_{CI} = \frac{N_{rI} - N_{eI}}{N_{CI}}, \quad (I = 1, 2, \dots), \quad (10)$$

where N_{CI} is the true number of the input data within the cluster C_I , N_{rI} is the obtained number of the desired input data within C_I , and N_{eI} is the obtained number of undesired input data out of C_I . The calculated results are summarized in Table 1. When the number of SOM_L is equal to the number of the clusters ($n=3$), we can evaluate the effectiveness of the method of using TSOM method by this index value.

3.2 3-Dimensional Input Data

Next, we carry out simulation for 3-dimensional input data shown in Fig. 7(a). The input data include two clusters and

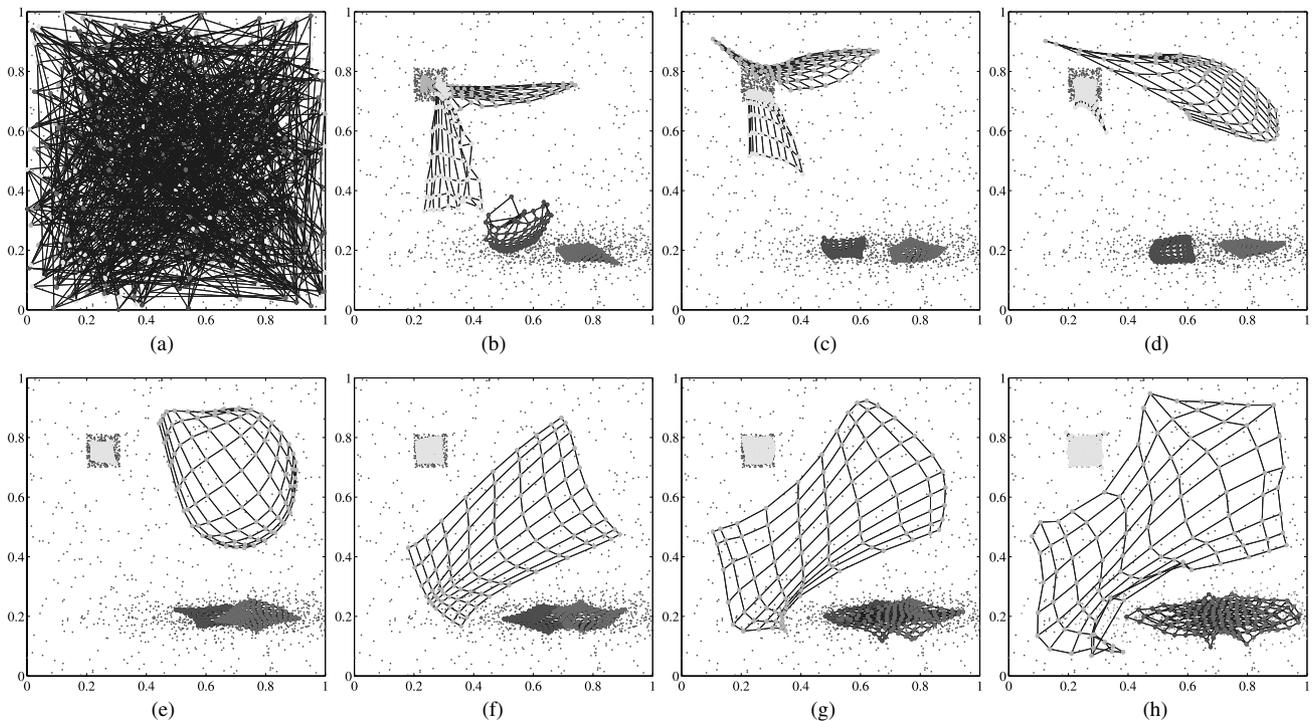


Fig. 4 Clustering using TSOM with inappropriate number of SOM_L . (a) Initial state ($t = 0$). (b) $t = 200$. (c) $t = 400$. (d) $t = 600$. (e) $t = 1000$. (f) $t = 2000$. (g) $t = 3000$. (h) Simulation results ($t = 6400$).

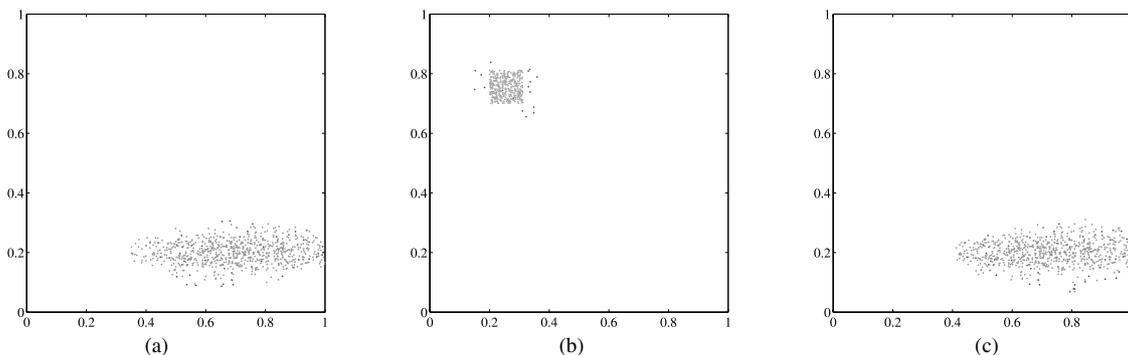


Fig. 5 Input data extracted by SOM_L of TSOM. (a) SOM_{L1} . (b) SOM_{L2} . (c) SOM_{L3} .

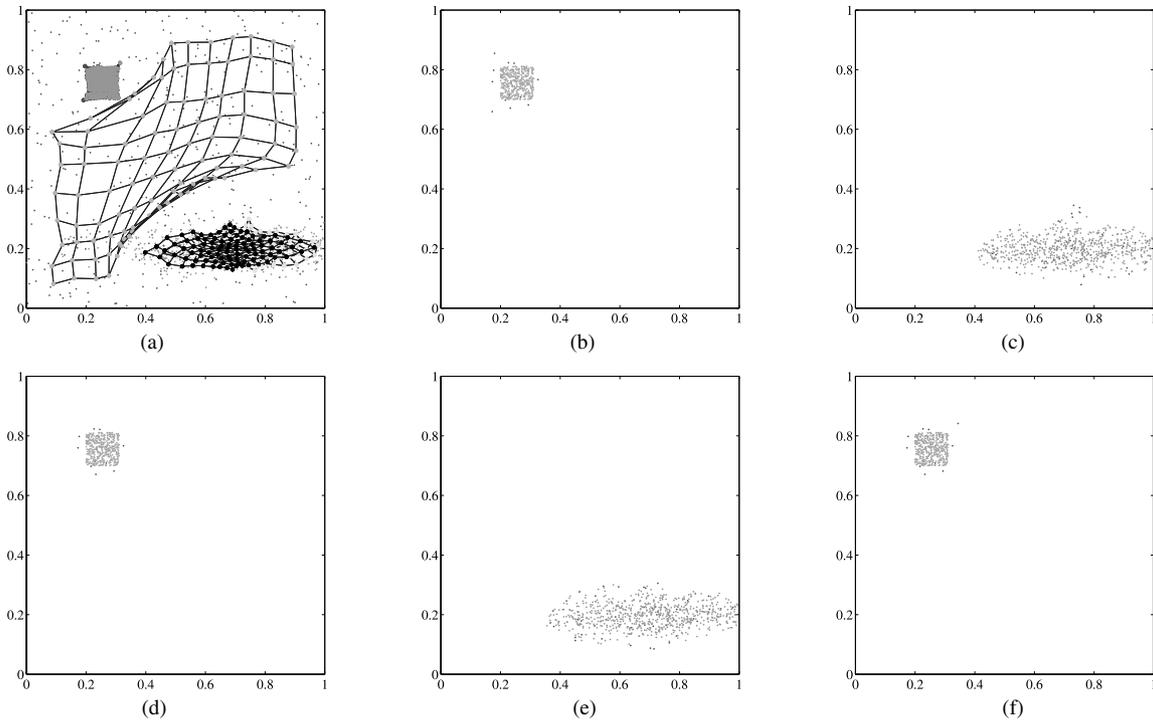


Fig. 6 Cluster extraction using excessive number of SOMs. (a) Learning result of TSOM ($n = 6$). (b) SOM_{L1} . (c) SOM_{L2} . (d) SOM_{L3} . (e) SOM_{L4} . (f) SOM_{L5} .

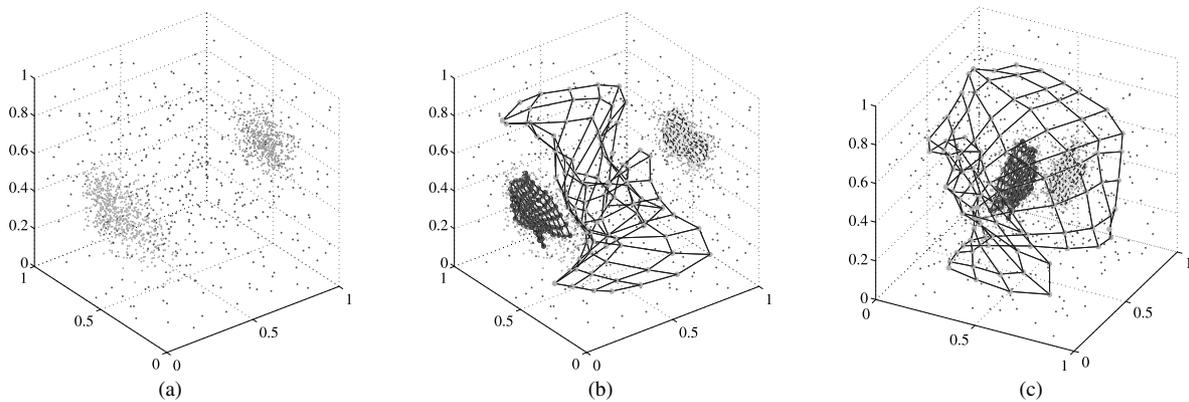


Fig. 7 Clustering of 3-dimensional input data using TSOM. (a) Input data. (b) Learning result of TSOM ($n = 3$) (c) Result from another angle.

much noise out of the clusters.

Figure 7(b) shows the learning result of TSOM ($n = 3$), and the same result from another angle is shown in Fig. 7(c). We can see that two SOM_L stay around the two clusters and SOM_G self-organizes the area of noise. The input data classified into the clusters corresponding to each SOM_L are shown in Figs. 8(a) and (b), respectively ($R = 0.15$). We can confirm that the noise are removed by SOM_G and only the cluster part can be extracted very well.

Furthermore, we carry out this simulation for the same input data using TSOM ($n = 5$) and k -means method ($k = 3$). The correct answer rates are summarized in Table 2. As we can see from this table, the clustering ability of using TSOM method is effectual whether or not we know the

Table 1 Correct answer rate [%] for 2-dimensional input data.

n	Method	SOM_{Ll}				
		1	2	3	4	5
3	k -means	81.78	66.00	-	-	-
	TSOM	87.36	97.73	-	-	-
4	TSOM	85.48	95.62	83.08	-	-
6	TSOM	96.50	84.01	96.97	86.09	96.74

number of clusters.

3.3 5-Dimensional Input Data

Furthermore, we perform the simulation for 5-dimensional input data of 1600 points. This data include four clusters and

much noise, and the four clusters are generated by random Gaussian data as shown in Table 3.

We carry out simulations for the cases of $n = 5$ (which is the same number of clusters) and $n = 7$ (we do not know the number of cluster). The parameters of the learning for $n = 5$ and $n = 7$ are chosen as follows;

(For $n = 5$)

$$\alpha(0) = 0.7, \sigma(0) = 4, \sigma_P = 1/16, R_{Lm} = 0.2,$$

(For $n = 7$)

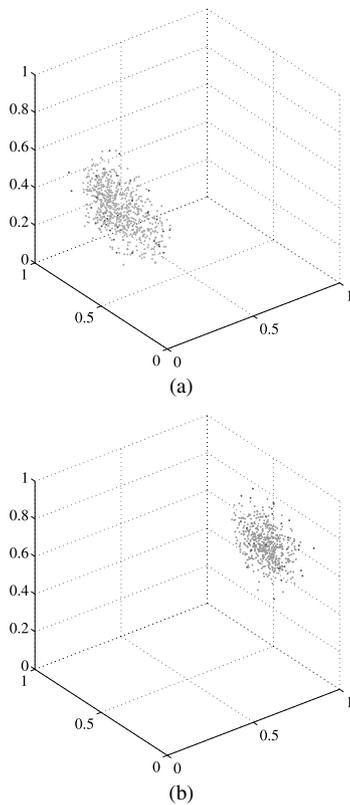


Fig. 8 3-D input data extracted by SOM_L. (a) SOM_{L1}. (b) SOM_{L2}.

Table 2 Correct answer rate [%] for 3-dimensional input data.

n	Method	SOM _{Ll}			
		1	2	3	4
3	k-means	65.98	59.29	-	-
	TSOM	89.75	94.26	-	-
5	TSOM	93.85	87.67	90.49	94.47

$$\alpha(0) = 0.7, \sigma(0) = 4, \sigma_P = 1/16, R_{Lm} = 0.25.$$

The results of the calculated correct answer rates ($R = 0.3$) are summarized in Table. 4. We can confirm that the correct answer rates are high.

4. Conclusions

In this study, we have proposed the Tentacled SOM (TSOM) algorithm which is a method of using plural SOMs. TSOM possesses both abilities of n SOM and PSOM. Each SOM of TSOM can catch the information of other SOMs existing in its neighborhood and self-organizes with the competing and accommodating behaviors. We have investigated its behaviors. Furthermore, we have applied TSOM to extract clusters in the case that we do not know the number of the clusters in advance, and have confirmed its efficiency.

From these results, we can say that the proposed method using TSOM can be applied to not only data extraction, but also data segmentation in the future. In addition, by proposing new visualization methods for TSOM, TSOM has the possibility of opening up completely new ways to visualize high-dimensional data.

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Table 4 Correct answer rate [%] for 5-dimensional input data.

n	Method	SOM _{Ll}					
		1	2	3	4	5	6
5	TSOM	80.00	97.93	96.35	93.69	-	-
7	TSOM	96.77	95.15	63.52	95.79	70.39	98.37

Table 3 5-dimensional Gaussian data.

No.		Coordinate axes					Probability [%]
		x_1	x_2	x_3	x_4	x_5	
C ₁	Mean value	0.8	0.3	0.7	0.2	0.7	15
	Variance	0.0016	0.0081	0.0036	0.0009	0.0081	
C ₂	Mean value	0.35	0.8	0.3	0.7	0.2	20
	Variance	0.0036	0.0004	0.01	0.0081	0.0036	
C ₃	Mean value	0.6	0.9	0.1	0.1	0.9	15
	Variance	0.0004	0.0016	0.0009	0.0025	0.0009	
C ₄	Mean value	0.2	0.1	0.8	0.4	0.3	20
	Variance	0.0016	0.01	0.0004	0.0225	0.0025	

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Haruna Matsushita was born in Tokushima, Japan, in 1982. She received the B.E., M.E. degrees from Tokushima University, Tokushima, Japan, in 2005 and 2007. She is currently working towards Ph.D. degree at the same university. Her research interests include theory and application of Self-Organizing Maps. She is a student member of the IEEE.



Yoshifumi Nishio received B.E., M.E., and Ph.D. degrees in electrical engineering from Keio University, Yokohama Japan, in 1988, 1990, and 1993, respectively. In 1993, he joined the Department of Electrical and Electronic Engineering at Tokushima University, Tokushima Japan, where he is currently an Associate Professor. From May 2000 he spent a year in the Laboratory of Nonlinear Systems (LANOS) at the Swiss Federal Institute of Technology Lausanne (EPFL) as a Visiting Professor. His

research interests include analysis and application of chaos in electrical circuits, analysis of synchronization in coupled oscillatory circuits, development of analyzing methods for nonlinear circuits, theory and application of cellular neural networks, and neural network architecture. He was the Chair of the IEEE CAS Technical Committee on Nonlinear Circuits and Systems (NCAS) during 2004–2005 and is currently the Steering Committee Secretary of the IEICE Research Society of Nonlinear Theory and its Applications (NOLTA). He is serving as an Associate Editor for the *IEEE Transactions on Circuits and Systems Part I* and the *RISP Journal of Signal Processing*. He is a senior member of the IEEE, and a member of the RISP.